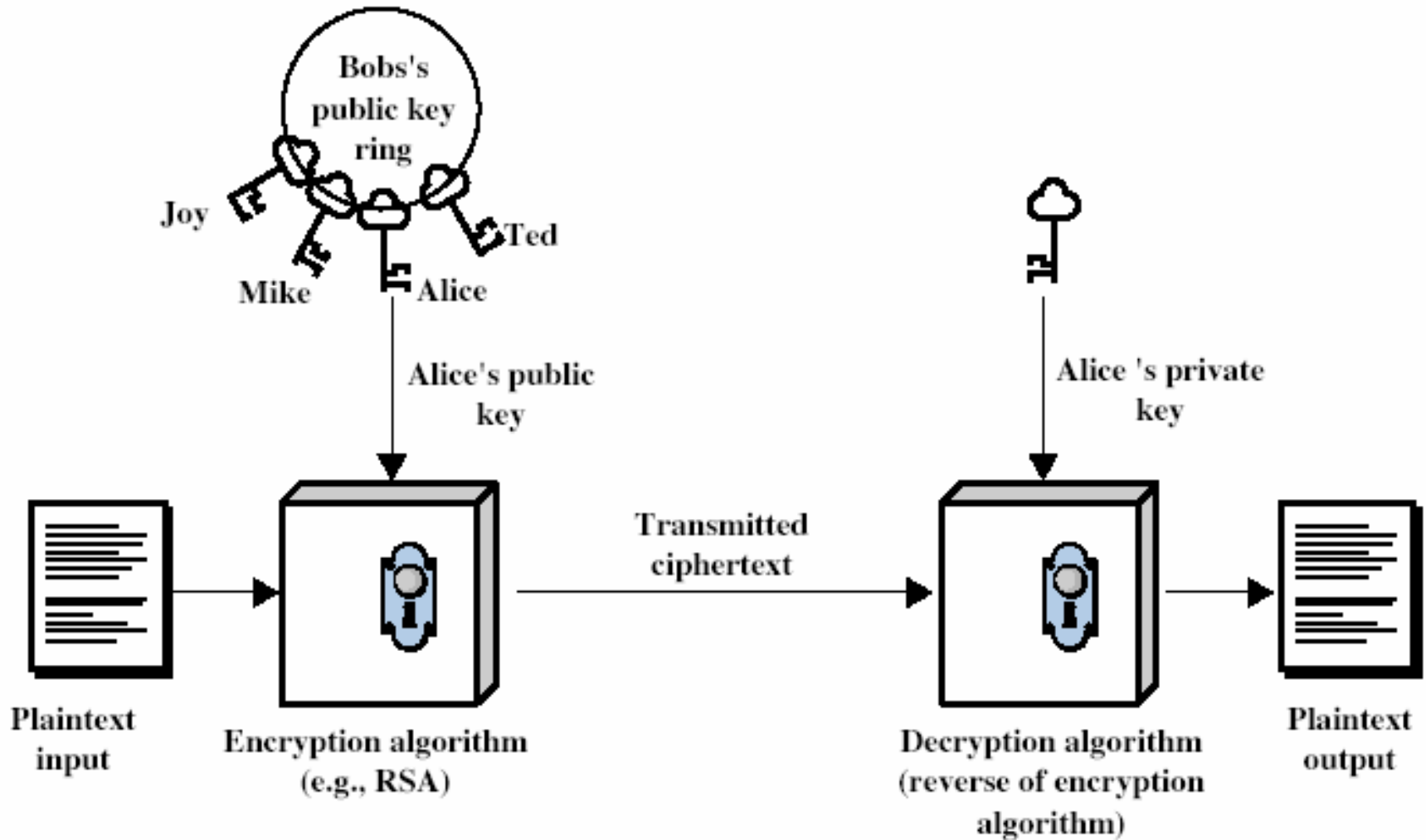


Public Key Cryptography: RSA and Lots of Number Theory

Public vs. Private-Key Cryptography

- We have just discussed traditional symmetric cryptography:
 - Uses a single key shared between sender and receiver
- Asymmetric (public key) cryptography was introduced by Diffie & Hellman and is a dramatically different approach to cryptography:
 - Two keys are used: a public and a private key
 - Alice generates a public and a private key
 - The Public Key is given to anyone who would like to securely communicate with Alice
 - Alice keeps the Private key “private” and she may decrypt messages encrypted with the public key
- Asymmetric cryptography is NOT a replacement of symmetric cryptography
 - There are many scenarios where symmetric is much better than asymmetric (such as bulk data encryption)

Public-Key Cryptography



Pros and Cons of Public Key Cryptography

- There are several advantages to public key cryptography:
 - **Asymmetry allows for easier key distribution:** We do not need a trusted third party (KDC) to distribute keys
 - **Asymmetry provides proof of origin:** The secret key is something that only one entity knows.
 - **Can be extended to form chains of trust:** Public Key Certificate frameworks provide a natural way to model trust.
- There are several disadvantages to public key cryptography:
 - **Computation Burden:** By its very nature, public key cryptography is not as fast or computationally efficient as symmetric cryptography.
 - **Communication Overhead:** PKIs typically require significant communication overhead (frequent and large messages).

Public-Key Characteristics

- Public-Key algorithms rely on two keys with the characteristics that it is:
 - computationally infeasible to find decryption key knowing only algorithm & encryption key
 - computationally easy to en/decrypt messages when the relevant (en/decrypt) key is known
 - either of the two related keys can be used for encryption, with the other used for decryption (in some schemes)

Public-Key Cryptosystems

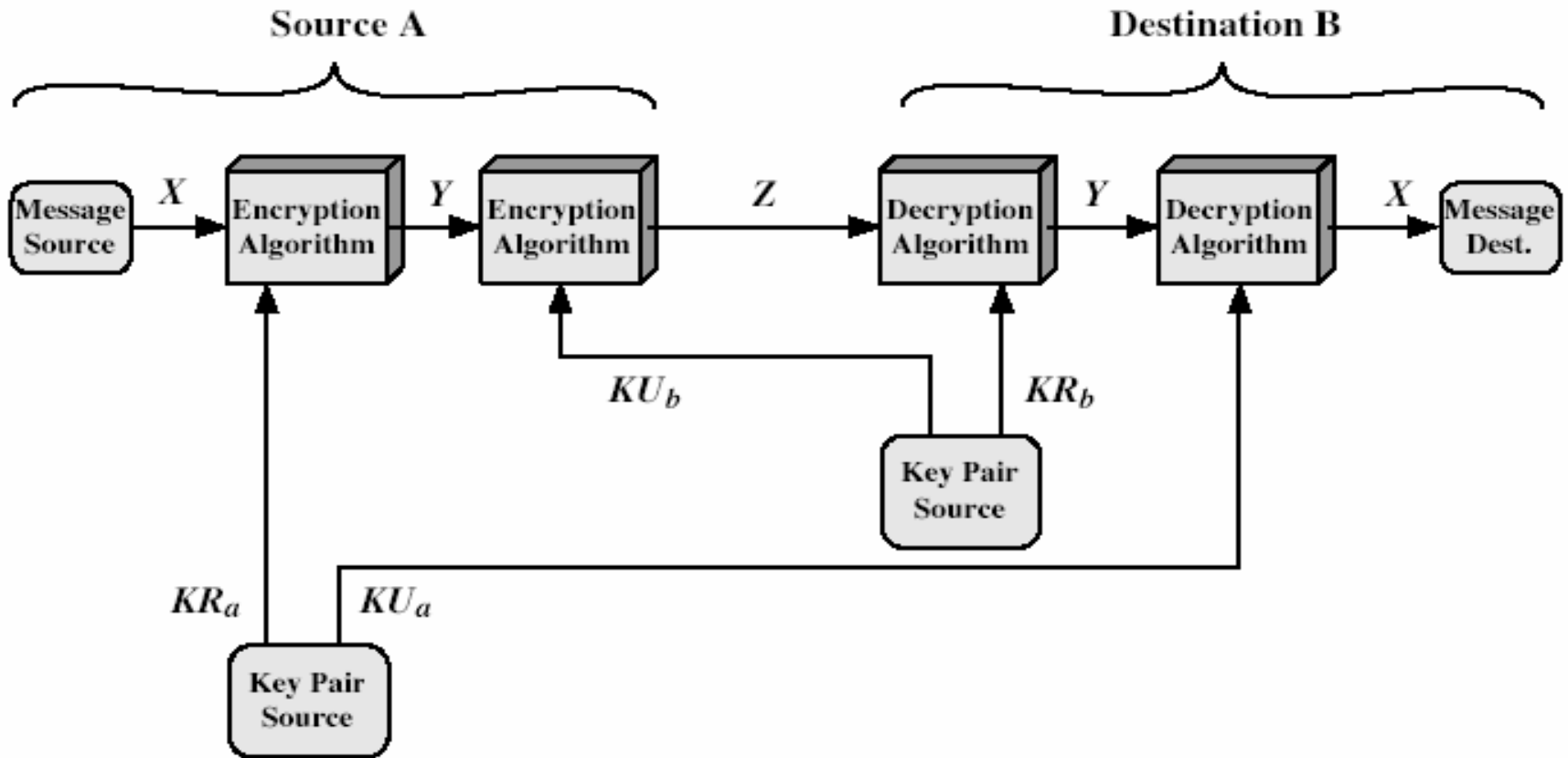


Figure 9.4 Public-Key Cryptosystem: Secrecy and Authentication

RSA

- The RSA algorithm is the most popular public key scheme and was invented by Rivest, Shamir & Adleman of MIT in 1977
- Based on exponentiation in a finite field over integers modulo a prime
 - Exponentiation takes $O((\log n)^3)$ operations (easy)
 - Exponentiation is accomplished through repeated squaring
 - Uses large integer operations
- Requires finding large primes
- The security of RSA is based on the (believed) intractability of the factoring of the product of two large primes
 - Difficulty of factoring is based upon the size of the factors
 - Factorization of RSA composite takes $O(e^{\log n \log \log n})$ operations (hard)

Setup of RSA

- Alice wishes to generate a public key and a private key

1. She first generates two large random primes p and q
2. She computes the composite $n=pq$, and the Euler Phi function

$$\varphi(n) = (p-1)(q-1)$$

3. She chooses a random encryption exponent e such that

$$\gcd(e, \varphi(n)) = 1$$

4. She finds the decryption exponent by: $ed = 1 \pmod{\varphi(n)}$

- Public key is $\{e, N\}$
- Private key is $\{d, p, q\}$

Encryption with RSA

- Suppose Bob wishes to encrypt a message M and send it to Alice.
- He acquires her public key $\{e,n\}$
- He computes the ciphertext:

$$c = m^e \bmod n$$

- Alice can decrypt by using her private key $\{d,p,q\}$ via

$$m = c^d \bmod n$$

- Requirement: We are doing operations modulo n , so message must be smaller than n

RSA Example

1. Select primes: $p=885320963$ & $q=238855417$
2. Compute $n = pq = 211463707796206571$
3. Compute $\phi(n) = (p-1)(q-1)$
4. Select e : $\gcd(e, \phi(n)) = 1$; choose $e=9007$
5. Determine d : $de=1 \pmod{\phi(n)}$ and $d < \phi(n)$.
Value is $d=116402471153538991$

Example encryption

1. Suppose $m=30120$
2. Ciphertext $c=m^e \pmod{n} = (30120)^{9007} = 113535859035722866$
3. Reconstruct plaintext: $m=c^d \pmod{n}$
 $= 113535859035722866^{116402471153538991}$
 $= 30120$

Why RSA Works

- OK... so we've covered what RSA is... now lets look at why it works, and how to make it work in practice.
- There are several key observations, most built from number theory, that we will need.
- We will cover the following:
 - Euler's Theorem
 - How to Calculate Inverses
 - Modular Exponentiation
 - Finding Primes
- We will briefly discuss the security of RSA today.
- More on the security of RSA will come in a lecture on attacks and cryptanalysis.

Euler's Theorem

- **Fermat's Little Theorem:** If p is a prime and p does not divide a , then $a^{p-1} \equiv 1 \pmod{p}$.
- Euler's Theorem is a generalization of Fermat's Little Theorem
- **Euler's Theorem:** If $\gcd(a, n) = 1$, then $a^{\phi(n)} \equiv 1 \pmod{n}$.
- What is $\phi(n)$? It's the amount of numbers between 1 and n that relatively prime to n .
- Example: $\phi(pq) = (p-1)(q-1)$
- **Euler/Fermat Example:** Compute $2^{43210} \pmod{101}$.
- *Solution:* From Fermat's theorem, we know that $2^{100} \equiv 1 \pmod{101}$. Therefore, $2^{43210} \equiv (2^{100})^{432} 2^{10} \equiv 1^{432} 2^{10} \equiv 1024 \equiv 14 \pmod{101}$.

Why Does Euler Make RSA Work?

- **Basic Principle:** Let a, n, x, y be integers with $n \geq 1$ and $\gcd(a, n) = 1$. If $x \equiv y \pmod{\varphi(n)}$, then $a^x \equiv a^y \pmod{n}$. In other words, if you want to work mod n , you should work mod $\varphi(n)$ in the exponent.
- In RSA, we choose $ed \equiv 1 \pmod{\varphi(n)}$, so

$$m^{ed} \equiv m^1 = m \pmod{n}.$$

Or, more explicitly $ed \equiv 1 \pmod{\varphi(n)}$ means

$$ed = 1 + k \varphi(n)$$

So

$$m^{ed} \equiv m^{1+k\varphi(n)} = m \pmod{n}$$

How to Calculate Inverses for RSA?

- We need to calculate e and d such that $ed \equiv 1 \pmod{\varphi(n)}$. How do we do this?
- Step 1: Choose a random e such that $\gcd(e, \varphi(n)) = 1$.

Why?

How?

- Step 2: Now, find d .

The wrong way:

$$e \cdot 1 \equiv e \pmod{\varphi(n)}$$

$$e \cdot 2 \equiv 2e \pmod{\varphi(n)}$$

$$e \cdot 3 \equiv 3e \pmod{\varphi(n)}$$

and so on...

**The Correct Way:
Use Extended Euclidean
Algorithm!**

The Plain Euclidean Algorithm

- The (plain) Euclidean Algorithm finds the $\text{gcd}(a,b)$:
- **Example:** $\text{gcd}(1180, 482)$

$$\begin{aligned} 1180 &= 2 \cdot 482 + 216 \\ 482 &= 2 \cdot 216 + 50 \\ 216 &= 4 \cdot 50 + 16 \\ 50 &= 3 \cdot 16 + 2 \\ 16 &= 8 \cdot 2 + 0. \end{aligned}$$

The diagram illustrates the steps of the Euclidean algorithm. Each equation is written on a new line. Blue arrows point from the remainder of one equation to the dividend of the next equation, showing the sequence of remainders: 216, 50, 16, and 2.

- Last non-zero remainder is the gcd.

Plain Euclidean Algorithm, pg 2.

- Formally, the Euclidean algorithm for calculating $\gcd(a,b)$:

Suppose that $a > b$

- Divide b into a : $a = q_1 b + r_1$
- If $r_1 = 0$ then $b|a$ and $\gcd(a,b) = b$
else represent b by $b = q_2 r_1 + r_2$

3. Continue in this way until remainder is zero. The \gcd is last non-zero remainder.

$$a = q_1 b + r_1$$

$$b = q_2 r_1 + r_2$$

$$r_1 = q_3 r_2 + r_3$$

...

$$r_{k-2} = q_k r_{k-1} + r_k$$

$$r_{k-1} = q_{k+1} r_k + 0$$

$$\gcd(a,b) = r_k$$

Getting closer to inverses...

- We can prove the following result using the Euclidean Algorithm:
- **Theorem:** Let a and b be two integers, with at least one of a , b nonzero, and let $d = \gcd(a, b)$. Then there exist integers x , y such that $ax + by = d$. In particular, if a and b are relatively prime, then there exist integers x , y with $ax + by = 1$.
- How do we use this? Suppose we know a , b , x , y as above. Then the inverse of $a \pmod{b}$ is x .
- Why?
- So, we need to find these x and y !
- Euclidean algorithm will give us x and y , if we do bookkeeping!

Showing $ax+by=\gcd(a,b)$

- The proof of the previous theorem just involves substitution.

$$r_1 = a - q_1 b \quad \text{let } x_1 = 1, y_1 = -q_1, \text{ so } r_1 = x_1 a + y_1 b$$

Next step...

$$r_2 = b - q_2 r_1 \quad \text{plug in earlier result}$$

$$r_2 = b - q_2 (x_1 a + y_1 b) = -x_1 q_2 a + (b - y_1 q_2)$$

$$\text{let } x_2 = -x_1 q_2, \quad y_2 = (b - y_1 q_2)$$

$$\text{So } r_2 = x_2 a + y_2 b$$

Follow this process repeatedly: If $r_i = x_i a + y_i b$

$$\text{Then } r_{i+1} = r_{i-1} - q_{i+1} r_i = x_{i-1} a + y_{i-1} b - q_{i+1} (x_i a + y_i b)$$

$$= a (x_{i-1} - q_{i+1} x_i) + b (y_{i-1} - q_{i+1} y_i)$$

$$= a x_{i+1} + b y_{i+1}$$

Since this holds for any r_i , it holds for the last one $r_k = \gcd(a,b)$.

Extended Euclidean Algorithm

- The idea in the proof leads to the Extended Euclidean Algorithm

Input: a, b non-negative with $a > b$

Output: $d = \gcd(a, b)$ and x and y such that $ax + by = d$

```
If  $b=0$  {  
     $d=a$ ;  $x=1$ ;  $y=0$ ; return( $d, x, y$ ); }
```

```
 $x_2=1$ ;  $x_1=0$ ;  $y_2=0$ ;  $y_1=1$ ;
```

```
While  $b > 0$  {  
     $q = \text{floor}(a/b)$ ;  
     $r = a - q * b$ ;  
     $x = x_2 - q * x_1$ ;  
     $y = y_2 - q * y_1$ ;  
     $a = b$ ;  
     $b = r$ ;  
     $x_2 = x_1$ ;  $x_1 = x$ ;  
     $y_2 = y_1$ ;  $y_1 = y$ ; }
```

```
 $d = a$ ;  $x = x_2$ ;  $y = y_2$ ;
```

```
Return( $d, x, y$ )
```

Implementation Detail: How to multiply fast!

- RSA needs calculations like $m^e \bmod n$. How do we do this quickly?
- If we just do sequential multiplication, it will take forever! (Remember, n is on the order of 1000 bits!!! And so is e !!!)
- To do it effectively, we use **Repeated Squaring**:

- **Example:** Let's do $2^{1234} \pmod{789}$

$$2^4 \equiv 4^2 \equiv 16$$

$$2^8 \equiv 16^2 \equiv 256$$

$$2^{16} \equiv 256^2 \equiv 49$$

$$2^{32} \equiv 34$$

$$2^{64} \equiv 367$$

$$2^{128} \equiv 559$$

$$2^{256} \equiv 37$$

$$2^{512} \equiv 580$$

$$2^{1024} \equiv 286.$$

- Since $1234 = 1024 + 128 + 64 + 16 + 2$ ($1234 = 10011010010$ in binary), thus

$$2^{1234} \equiv 286 \cdot 559 \cdot 367 \cdot 49 \cdot 4 \equiv 481 \pmod{789}.$$

Making Primes, Principles pg. 1

- **Basic Principle:** Let n be an integer and suppose there exist integers x and y with $x^2 \equiv y^2 \pmod{n}$, but $x \not\equiv \pm y \pmod{n}$. Then n is composite. Moreover, $\gcd(x - y, n)$ gives a nontrivial factor of n .
- **Proof.** Let $d = \gcd(x - y, n)$. If $d = n$ then $x \equiv y \pmod{n}$, which is assumed not to happen.

Suppose $d = 1$. We know that if $a|bc$ and $\gcd(a, b) = 1$, then $a|c$.

In our case, since n divides $x^2 - y^2 = (x-y)(x+y)$ and $d = 1$, we must have that n divides $x + y$, which contradicts the assumption that $x \not\equiv -y \pmod{n}$. Therefore, d is not $= 1, n$, so d is a nontrivial factor of n .

- **Example:** Since $12^2 \equiv 2^2 \pmod{35}$, but $12 \not\equiv \pm 2 \pmod{35}$, we know that 35 is composite. Moreover, $\gcd(12 - 2, 35) = 5$ is a nontrivial factor of 35 .

Making Primes, Principles pg. 2

- We may use Fermat's Little Theorem to prove numbers are **not** prime.
- Here's the way: Suppose you have a number n and want to show it is not prime. Choose a number a , and calculate

$$a^{n-1} \pmod{n}$$

If this does not equal 1, then n cannot be prime.

Why?

- Example: Show 35 is not prime.

$$2^{34} = 2^{32} 2^2 = 11 * 4 = 9 \neq 1 \pmod{35}$$

Hence 35 is not prime.

- But, what if $a^{n-1} \pmod{n} = 1$? This does not mean n is prime.
- Numbers n such that $a^{n-1} \pmod{n} = 1$ for a particular a are said to be *pseudoprimes base a*. “ a ” is said to be a *liar* for n .

Making Primes, Miller-Rabin pg. 1

- **Fact**: Let n be an odd prime and let $n-1 = 2^s r$, where r is odd. Let a be any integer such that $\gcd(a,n)=1$. Then either $a^r \equiv 1 \pmod{n}$ or $a^{2^j r} \equiv -1 \pmod{n}$ for some $0 \leq j \leq s-1$.
- **Definition**: Let n be an odd composite with $n-1 = 2^s r$. Let $a \in [1, n-1]$. If either $a^r \equiv 1 \pmod{n}$ or $a^{2^j r} \equiv -1 \pmod{n}$, for some $0 \leq j \leq s-1$ then n is a strong pseudoprime base a , and a is a **strong liar** for n .
- **Fact**: If n is an odd composite integer, then at most $1/4$ of the numbers a are strong liars for n .
- We can use this in a Monte-Carlo algorithm to produce “primes”:
 - Test t different a 's.
 - Probability of falsely identifying a prime is $\leq \left(\frac{1}{4}\right)^t$

Miller-Rabin Primality Test, pg. 2

- Generate a random (odd) integer n such that $n-1 = 2^s r$

For $k=1$ to t **do**

Choose a random integer $2 \leq a \leq n-2$

Calculate $y = a^r \pmod{n}$

If $((y \neq 1) \ \& \ (y \neq n-1))$ **then**

$j=1$;

While $((j \leq s-1) \ \& \ (y \neq n-1))$ **do**

$y = y^2 \pmod{n}$

If $y=1$ **then Return**(“Composite”);

$j++$;

enddo

If $(y \neq n-1)$ **then Return**(“Composite”);

endif

endfor

Return(“Probably Prime”);

OK, we know how to make primes... Now what?

- Not all primes are good... There are some things we should check for when choosing primes...

- Make certain $(p-1)$ or $(q-1)$ do not have many small factors!

Why? Else, the $(p-1)$ -Factorization Method will make n easy to factor

- Make p and q of different lengths

Why? The following result applies...

Theorem: Suppose p and q are primes with $q < p < 2q$. Let $n=pq$, and choose e and d as in the RSA algorithm. If $d < (1/3)n^{1/4}$, then d can be calculated quickly.

- Make certain adversary doesn't know many of the digits of p or q .

Why? The following result applies...

Theorem: Let $n=pq$ have m digits. If we know the first $m/4$ or the last $m/4$ digits of p then we can efficiently factor n .

A Little on the Security of RSA

- The security of RSA is based upon the assumption that factoring the product of two large primes is **hard**.
- What if we assume factoring is impossible, then what are the logical implications?
- Most arguments go like this:
 - If factoring is hard, and XYZ is directly related to factoring, then XYZ is hard.
 - Or, say it another way... Assume XYZ is easy, then show XYZ is equivalent to factoring, which contradicts the fact that factoring is impossible!

An Example of this Principle

- Suppose Eve sees n and e (they're public!!!). We claim she can't figure out $\varphi(n)$.
- **Proof:** We show that knowing n and $\varphi(n)$ is equivalent to factoring, i.e. finding p and q !

p and q are the roots of $(x-p)(x-q) = x^2 - (p+q)x + pq$.

Note that $n - \varphi(n) + 1 = pq - (p-1)(q-1) + 1 = p+q$

So $(x-p)(x-q) = x^2 - (n - \varphi(n) + 1)x + n$

We can solve this using quadratic formula...

$$p, q = \frac{(n - \varphi(n) + 1) \pm \sqrt{(n - \varphi(n) + 1)^2 - 4n}}{2}$$

So, if we could find $\varphi(n)$ we would be able to factor n !!!

- This removes the **easy** way to find d by finding $\varphi(n)$.

Factorization and Fermat Factorization

- Modern factorization methods involve significant mathematical machinery. However, we may use a simple factoring method to see what not to do when setting up RSA

Fermat Factorization:

Start with $n=pq$. We try to write $n=x^2 - y^2 = (x+y)(x-y)$

Can we even do this? Yes, always!

Let $p=x+y$ and $q = x-y$

$$\left. \begin{array}{l} x+y = p \\ x-y = q \end{array} \right\} \longrightarrow 2x = p+q \quad x = (p+q)/2$$

$$\left. \begin{array}{l} -(x+y = p) \\ x - y = q \end{array} \right\} \longrightarrow -2y = q-p \quad y=(p-q)/2$$

So this is always possible.

Now, try $n+1^2, n+2^2, n+3^2, \dots$ until we find a square

If $n+y^2 = x^2$ then we are done! $n=x^2 - y^2$

- This method only works well when $(x+y)$ and $(x-y)$ are close! That is, when p and q are close! So, we must **not** choose p and q too close.